

A SUPPLEMENT TO

accounting**TODAY**



# WEALTH MAGNETS

The 2015 Top Firms by AUM

THE LEADING ■  
CPA FINANCIAL  
PLANNERS RANKED  
BY ASSETS UNDER  
MANAGEMENT

THE CHALLENGES ■  
THEY FACE, AND  
THEIR STRATEGIES  
FOR SUCCESS



# WEALTH MAGNETS

TOP FIRMS BY AUM

## THE BILLION DOLLAR CLUB

AUM \$	FIRM NAME	LOCATION	STAFF	CHIEF EXECUTIVE
10,977,209,465	Plante Moran Financial Advisors	Southfield, Mich.	150	John Lesser
8,271,692,000	Ronald Blue & Co.†	Roswell, Ga.	275	Russ Crosson
7,003,260,873	myCIO Wealth Partners	Philadelphia	37	David Lees
5,731,378,471	McGladrey Wealth Management	Minneapolis	81	Randy Matz
4,433,431,979	Savant Capital Management	Rockford, Ill.	127	Brent Brodeski
4,050,000,000	CliftonLarsonAllen Wealth Advisors	Minneapolis, Minn.	95	Tony Hallada
3,755,408,048	Wipfli Hewins / Hewins Financial	Milwaukee	72	Roger Hewins
3,500,000,000	Rehmann	Troy, Mich.	800	Randy Rupp
2,877,589,749	Joel Isaacson & Co.	New York City	38	Joel Isaacson
2,634,567,525	BKD Wealth Advisors	Springfield, Mo.	65	Jack Thurman
2,240,000,000	SignatureFD	Atlanta	54	Jeff Peller
2,115,579,000	Honkamp Krueger Financial Services Inc.	Dubuque, Iowa	60	John Darrah
2,004,638,104	Forum Financial Management†	Lombard, Ill.	62	A. Hamburger, N. Mindel
1,954,611,380	SVA Plumb Wealth Management†	Madison, Wis.	66	Thomas Plumb
1,937,124,083	HBKS Wealth Advisors	Erie, Pa.	49	Christopher Allegretti
1,922,960,150	Moss Adams Wealth Advisors	Seattle	40	Rebecca Pomeroy
1,866,532,717	Gilman Ciocia Inc.	Poughkeepsie, N.Y.	181	Michael Ryan
1,859,000,000	Truepoint Wealth Counsel	Cincinnati	40	Michael Chasnoff
1,838,045,385	Warren Averett Asset Management†	Birmingham, Ala.	29	Joshua Reidinger
1,800,000,000	Berkowitz Pollack Brant/Provenance Wealth	Ft. Lauderdale, Fla.	32	Richard Berkowitz
1,755,408,000	Andersen Tax†	McLean, Va.	595	Mark Vorsatz
1,650,000,000	RubinBrown Advisors	St. Louis	9	John Herber
1,523,636,750	Sequoia Financial Group	Akron, Ohio	44	Tom Haught
1,400,000,000	Citrin Cooperman Wealth Management	Philadelphia	9	David Bruckman
1,223,758,796	Frontier Wealth Management	Kansas City, Mo.	45	Nick Blasi
1,180,183,357	Dixon Hughes Goodman Wealth Advisors†	Asheville, N.C.	19	Frank Hoyle
1,139,811,396	KDV Wealth Management	St. Cloud, Minn.	20	Dave Hinnenkamp
1,100,000,000	CCR Wealth Management	Westborough, Mass.	15	David Borden
1,025,000,000	Level Four Advisory Services	Plano, Texas	31	Edmon Tomes
1,006,637,423	Thomas Wirig Doll	Walnut Creek, Calif.		Steve Leininger

## THE \$500 MILLION-PLUS CLUB

AUM \$	FIRM NAME	LOCATION	STAFF	CHIEF EXECUTIVE
987,000,000	Schneider Downs Wealth Mngmt. Advisors	Pittsburgh	13	D. Linzer, K. Kunkle, N. Skeans
930,000,000	LBMC Investment Advisors	Brentwood, Tenn.	5	Gregory Herman
918,461,327	Durbin Bennett Private Wealth Management†	Austin, Texas	12	Richard Bennett, Brent Durbin
905,501,819	GHP Investment Advisors	Denver	14	Brian Friedman
894,373,889	Braver Wealth Management†	Needham, Mass.	21	David D'Amico
822,394,361	Howard Financial Services†	Dallas	13	James Howard
787,958,000	AKT Wealth Advisors	Lake Oswego, Ore.	19	K. Scott Barchus
777,537,606	Jackson Thornton Asset Management	Montgomery, Ala.		George Smith
738,000,000	Valley National Financial Advisors	Bethlehem, Pa.	34	Thomas Riddle
737,246,636	Beaird Harris	Dallas	9	Pat Beaird
695,383,278	Lutz Financial†	Omaha, Neb.	9	Jim Boulay
681,335,512	Sella & Martinic	Rockville, Md.	25	E. Geoffrey Sella
651,979,961	EisnerAmper Wealth Advisors†	New York City	5	Marc Scudillo
632,777,233	SMF Financial Advisors	Clifton, N.J.	7	Michael Shenker
607,000,000	Corrigan Financial Inc.	Middletown, R.I.	22	Daniel Corrigan
578,666,386	Schenck Investment Solutions†	Appleton, Wis.	4	K. Jaklin, K. Lakritz, T. Vonck
566,616,024	Raffa Wealth Management†	Washington, D.C.	4	Dennis Gogarty
565,157,000	Smith & Howard Wealth Management	Atlanta	10	Tim Agnew
546,527,174	REDW Stanley Financial Advisors†	Albuquerque, N.M.	16	Ginny Stanley
543,914,490	ML&R Wealth Management	Austin, Texas		Steve Harvey
518,907,830	Frank, Rimerman Advisors†	Palo Alto, Calif.	4	Patrick Sullivan

## Notes on the report

For our ninth annual ranking of CPA firms by assets under management, we received submissions from over 200 firms. Such a large number of firms means, among other things, wide diversity in practice structure and in the information submitted.

▶ In most cases, firm names are those of the financial planning subsidiary, not the affiliated CPA firm.

▶ Firms have reported either their overall chief executive, or the leader of the planning practice. For simplicity's sake, we have listed both under "chief executive," though many bear different titles. In cases where a leader was not identified, we identified the leader of the planning practice from public sources (usually the firm's Web site).

▶ Many firms gave a date for the AUM figure they submitted; these varied widely, from the date of submission to a recent quarter-end, but in no case was it earlier than year-end 2014.

▶ In cases where a planning firm is a subsidiary of a larger CPA firm, reporting practice varies: Some report staff and office figures for just the subsidiary, some just for the overall CPA firm, and some for both. In cases where we had a choice, we published figures from just the planning subsidiary. In addition, while most of the chief executives listed lead the planning practice, in some cases firms reported the managing partner of the larger CPA firm.

### Notes:

† Data provided by Audit Analytics, a premium online intelligence service that delivers audit, regulator and disclosure analysis to the accounting community. Reach them at (508) 476-7007, [www.auditanalytics.com](http://www.auditanalytics.com), or [info@auditanalytics.com](mailto:info@auditanalytics.com).

## ONES TO WATCH

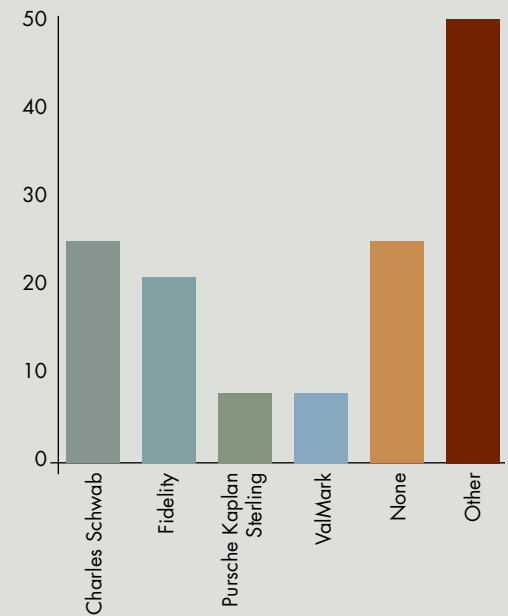
- ▶ Dexter Ward & Associates Denison, Texas
- ▶ S.C. Thomas & Associates CPA† Medina, Ohio
- ▶ Neuenschwander Asset Management McFarland, Wis.
- ▶ Tette & Ingersoll CPAs Victor, N.Y.
- ▶ Vantage Point Advisors Salt Lake City
- ▶ Forest Asset Management Berwyn, Ill.
- ▶ Convergence Wealth Advisors Fall River, Mass.
- ▶ Poinciana Advisors Group Palm Beach, Fla.
- ▶ Financial Life Advisors San Antonio
- ▶ Klane Wealth Management St. Paul, Minn.
- ▶ Maze Financial Planning Chapel Hill, N.C.
- ▶ Jordahl & Sliter Wealth Management Group† Kalispell, Mt.

# TOP FIRMS BY AUM WEALTH MAGNETS

## THE \$100 MILLION-PLUS CLUB

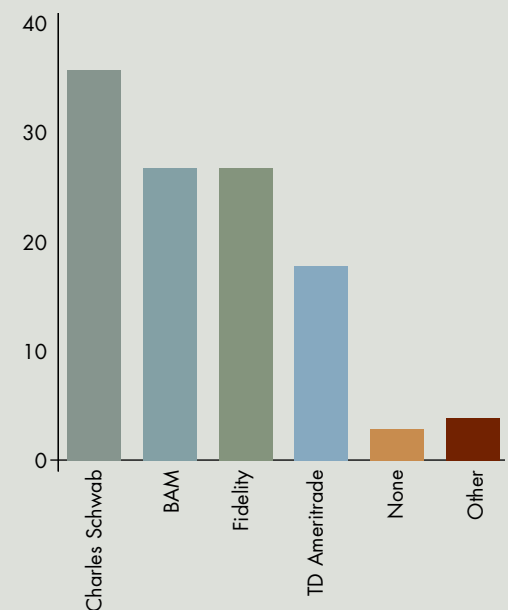
AUM \$	FIRM NAME	LOCATION	STAFF	CHIEF EXECUTIVE
470,000,000	Kerkering Barberio	Sarasota, Fla.	132	Robert Lane
456,000,000	Lassus Wherley	New Providence, N.J.	23	Clare Wherley
452,642,974	PYA Waltman Capital†	Knoxville, Tenn.	9	J. William Waltman
447,746,245	Meritrust Wealth Management	Louisville, Ky.	13	Diane Medley
439,936,120	SS&G Wealth Management	Akron, Ohio	13	Carina Diamond
436,257,759	Dopkins Wealth Management	Williamsville, NY		Tom Emmerling
417,538,213	CRA Financial	Northfield, N.J.	9	M. Reynolds/T. Reynolds
401,680,099	Traphagen Investment Advisors	Oradell, N.J.	7	V. Peter Traphagen
398,368,050	Bland Garvey Wealth Advisors	Richardson, Texas		John Garvey
396,000,000	Boulay Financial Advisors	Eden Prairie, Minn.	13	Dave Bremer
394,000,000	Marcum Financial Services	Melville, N.Y.	11	Steven Brett
392,442,737	Wealthquest Financial Services	Norfolk, Va.		Jeff Chernitzer
364,000,000	Kemper Capital Management	Robinson, Ill.	15	Thomas Moore
356,609,916	Kuntz Leshner Capital†	Lancaster, Pa.	9	Sarah Young Fisher
342,844,971	Jack Oujo CPA Inc.	Wall, N.J.	10	Jack Oujo
340,000,000	The Glowacki Group†	Los Angeles	10	Michael Glowacki
339,985,183	PBMares Wealth Management	Williamsburg, Va.		David Bush
338,315,372	GreerWalker Wealth Management†	Charlotte, N.C.	14	James Reichard
336,209,338	KEB Asset Management	Springfield, Ill.		Marc Carter
333,645,470	Weaver Wealth Management†	Dallas	15	Dave Segro
325,000,000	LBA Wealth Management	Jacksonville, Fla.	6	David Albanese
308,373,311	Whitley Penn Financial†	Fort Worth, Texas	5	Thomas Rein
304,455,830	Tellone Management Group Inc. †	Anaheim Hills, Calif.	7	Dean Tellone
290,103,751	Herbein Wealth Management	Wyomissing, Pa.		Bill Morgan
285,000,000	Asset Strategies Inc.	New York City	12	Alan Rothstein
285,000,000	KCoe Wealth	Wichita, Kan.	6	Steve Callahan
280,574,736	Cherry Bekaert Wealth Management†	Richmond, Va.	13	Wesley Watkins
279,790,202	Arnett Carbis Toothman Wealth Advisors	Charleston, W.Va.		Gary Swingle
277,163,000	Glass Jacobson Investment Advisors	Owings Mills, Md.	12	Jon Dinkins
277,098,475	Mason Road Wealth Advisors	St. Louis		William Bender
276,893,234	WebsterRogers Investment Advisors	Florence, S.C.		Frank Rogers
276,000,000	John F. Suby Wealth Management†	Madison, Wis.	6	John Suby
269,848,364	Nova Wealth Management Group	Atlanta		Jeffrey Cohen, Sam Bromberg
269,700,000	KMH Wealth Management	Victoria, Texas	7	Lane Keller
258,645,000	Rowling & Associates	San Diego	9	Sheryl Rowling
248,000,000	PWM Advisory Group	Red Bank, N.J.	10	James Ferrare
247,000,000	Mark Sheptoff Financial Planning	Glastonbury, Conn.	2	Mark Sheptoff
243,768,774	Lauterbach Financial Advisors	El Paso, Texas		Jon Sonnen
238,202,821	Kraft Asset Management	Nashville, Tenn.		Stephen High
235,000,000	ShankerValleau Wealth Advisors Inc.	Evanston, Ill.	8	Lawrence Shanker
234,902,000	SC&H Financial Advisors Inc.†	Sparks, Md.	15	Gregory Horning
234,333,378	Claris Advisors	St. Louis		Stan Royer
227,939,949	Millares Asset Management	Coral Gables, Fla.		Ruben Millares
215,056,958	HA&W Wealth Management†	Atlanta	6	Keith Greenwald
214,000,000	Blue Ocean Strategic Capital	Syracuse, N.Y.	6	Theodore Sarenski
210,000,000	BCS Wealth Management	Johnson City, Tenn.	7	Mike Alread
205,314,873	Andrews, Lucia Wealth Management	San Mateo, Calif.		Robert Lucia
203,651,470	Mutual Fund Mngmt. Co./Arvai & Associates	Troy, Mich.	4	Frank Arvai
200,000,000	SK Wealth Management	Providence, R.I.	5	Jason Archambault
197,000,000	Mercadien Asset Management*	Hamilton, N.J.	5	Kenneth Kamen
186,821,179	Causey Demgen & Moore†	Denver	45	Paul Demgen
184,701,074	Eide Bailly Advisors†	Fargo, N.D.	30	Brad Kelley
178,194,918	Solutions for Wealth Management	Bourbonnais, Ill.		Mark Smith
177,714,000	Netting & Pace CPAs	San Antonio	16	Conrad Netting

## THE BILLION DOLLAR CLUB PROFESSIONAL AFFILIATIONS (% OF FIRMS)



Note: Of 24 firms reporting. Includes platform providers, custodians, broker-dealers, RIAs, etc. Some firms reported more than one affiliation.

## THE \$500+ MILLION CLUB PROFESSIONAL AFFILIATIONS (% OF FIRMS)



Note: Of 11 firms reporting. Includes platform providers, custodians, broker-dealers, RIAs, etc. Some firms reported more than one affiliation.

# WEALTH MAGNETS

TOP FIRMS BY AUM

## THE \$100 MILLION-PLUS CLUB (CONT'D)

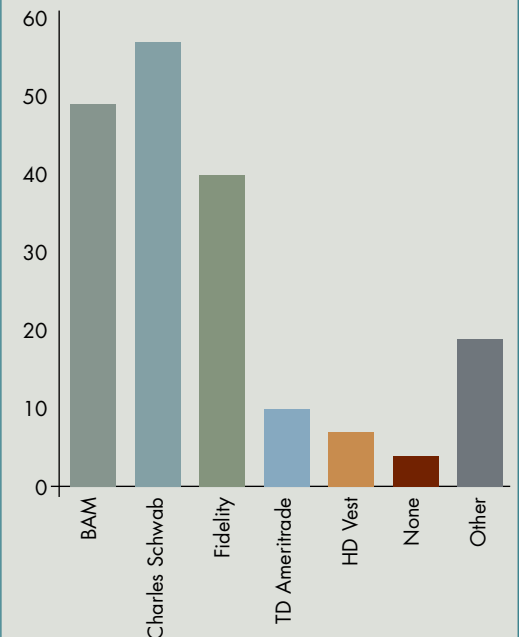
AUM \$	FIRM NAME	LOCATION	STAFF	CHIEF EXECUTIVE
169,008,351	Wealth Management Group	Dover, Del.		Scott Brown
165,084,213	Horne Wealth Advisors	Ridgeland, Miss.		Stan Purvis
165,000,000	KLR Wealth Management	Providence, R.I.	3	Peri Ann Aptaker
157,437,383	Kilner Capital Advisors	Gaithersburg, Md.		Joe Kilner
149,697,684	FMF & E Wealth Management	Syracuse, N.Y.		Chris Gardner
141,306,356	Dental Wealth Advisors	Kirkland, Wash.		Sam Martin
141,227,612	RF&L Wealth Management	Boston		Neil Doherty, Rodney Hass
137,263,510	Homan Wealth Advisors	Omaha, Neb.		Richard Homan
136,488,134	Wasserman Wealth Management	Farmington Hills, Mich.		Brad Wasserman
135,000,000	Briggs Wealth Management Inc.	Glastonbury, Conn.	6	Mark Briggs
131,907,642	D3 Financial Counselors	Downers Grove, Ill.	6	Donald Duncan
131,239,000	Pivotal Planning Group	Jericho, N.Y.	7	John Marchisotta
130,084,600	Ronald P. Austin CPA/PFS	Lafayette, Ind.	1	Ronald Austin
130,015,435	ARK Financial Services	Bethesda, Md.		Andrew Kline
127,924,569	PKS Investment Advisors	Ocean City, Md.		Winn Booth
125,000,000	Paul Carpenter Capital Advisors	Johnstown, Pa.	4	Paul Carpenter
124,468,107	Heartland Investment Advisors	Kansas City, Mo.		Douglas Hunt
123,079,575	Squire Wealth Advisors	Orem, Utah		Tim Christensen
120,765,135	SFC Asset Management Inc.	State College, Pa.		James Karchner
120,000,000	M. White Financial Services	Baytown, Texas	4	Matthew White
118,395,065	WIN Wealth Management	Denver		Mark Autterson
115,000,000	Alt Financial Services Inc.	Janesville, Wis.	10	Dennis Alt
115,000,000	Schexnayder Wealth Advisors	LaPlace, La.	4	Nolan Schexnayder
110,826,997	JDH Wealth Management	Santa Rosa, Calif.		Tim Delaney
110,549,264	AVL WealthCare	Gulfport, Miss.		Germaine Weldon
108,891,611	Walpole Financial Advisors	Goleta, Calif.		Kirk Stovesand, Jean Smith
106,000,000	Susquehanna Financial Advisors	Mechanicsburg, Pa.	3	Edward Gormley
103,088,410	Siena Capital Management	Grand Ledge, Mich.		Roger Millbrook, Steve Hicks
100,900,000	CSA Financial Advisors	Cincinnati	4	Michael Clark
100,000,000	Shahbaz Southern & Co.	Greensboro, N.C.	6	Ken Shahbaz

## THE RISING STARS

AUM \$	FIRM NAME	LOCATION	STAFF	CHIEF EXECUTIVE
98,307,641	Cornerstone Wealth Advisors	Overland Park, Kan.		David Imhoff
91,112,226	WeiserMazars Wealth Advisors†	New York City	5	Carijn Michel
90,855,606	Financial Advocates	Costa Mesa, Calif.		James Pickett
90,000,000	Carey & Hanna   Tax & Wealth Planners	Ventura, Calif.	11	Davin Carey
84,229,920	Green Wealth Management	Salem, Ore.		Phil Green
78,063,044	Lake Mary Wealth Management	Maitland, Fla		Paula Taylor
78,005,726	Waypoint Wealth Management	Hunt Valley, Md.		Grant Palmer, Dave Callan
77,564,878	BCG Wealth Advisors	Akron, Ohio		Doug Mathey
77,036,115	JMF Capstone	Tuscaloosa, Ala.		Joel Lake
75,752,727	Gallagher, Flynn & Co.	South Burlington, Vt.	71	M. Keller, G. Bourgea
75,540,118	Shoreline Asset Management	New Castle, Ind.		Marion Shore
75,000,000	Armstrong, Backus & Co.	San Angelo, Texas	56	Freddy Moore
74,743,000	Scafa Financial Services	Pennington, N.J.	4	Elizabeth Scafa
74,361,340	CTM Financial	Knoxville, Tenn.		Pat Taylor
74,000,000	The Whitlock Co.	Springfield, Mo.	32	Joe Page
72,752,595	Purtill Financial	Highland Heights, Ohio	4	Donald Purtill
72,000,000	Coventry Financial Group	Quitman, Texas	3	Larry Coventry
71,000,000	Morrison & Associates Wealth Management	St. Charles, Ill.	8	William Morrison
70,961,825	Sikich Financial†	Springfield Ill.	8	James Sikich
70,500,000	TDC Capital Advisors Inc.	Bryan, Texas	4	Ronnie Craig
70,045,645	Christakos Financial Group	Westfield, N.J.	1	Jeffrey Christakos
70,000,000	Phoenix Tax Consultants	Phoenixville, Pa.	9	Julia Brufke Wenger

## THE \$100+ MILLION CLUB

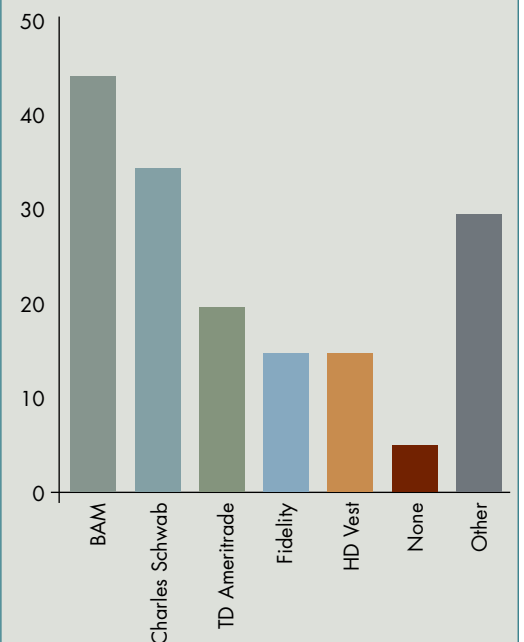
PROFESSIONAL AFFILIATIONS (% OF FIRMS)



Note: Of 70 firms reporting. Includes platform providers, custodians, broker-dealers, RIAs, etc. Some firms reported more than one affiliation.

## THE RISING STARS

PROFESSIONAL AFFILIATIONS (% OF FIRMS)



Note: Of 20 firms reporting. Includes platform providers, custodians, broker-dealers, RIAs, etc. Some firms reported more than one affiliation.